Development of the CBT-E Components Checklist: A tool for measuring therapist self-rated adherence to CBT-E

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Key words

Adherence Checklist Self-rated CBT-E components checklist Therapist tool

Abstract

Few tools to encourage therapists to implement evidence based psychological treatments as designed exist. Assessing therapist "competence" (implementing therapeutic procedures well) by evaluating the quality of their treatment sessions is problematic for multiple reasons. Therapist self-rated measures of "adherence" (using the correct therapeutic procedures) may offer a viable alternative. We describe (i) the development of a CBT-E Components Checklist (CBT-E CC) for therapists, as well as (ii) how to use the CBT-E CC and where to access it. The CBT-E CC is an adherence checklist for enhanced cognitive behavior therapy for eating disorders (CBT-E). It is intended as a formative tool for therapists to assess, and improve as needed, their own adherence. Future research on the validity of the checklist to accurately measure adherence is needed.

Introduction

The need for scalable adherence tools

Currently, therapists wishing to ensure they are implementing evidence based treatments with fidelity have few options. When available, the traditional method for assessing and encouraging adherence relies on recorded therapy sessions and expert clinician supervision (Herschell et al., 2010; updated, Frank et al., 2020). This method is unattainable for most therapists working in real-world settings as expert supervision is difficult to obtain and costly. Furthermore, even when expert supervision is possible, it often does not involve listening to therapy sessions for a number of reasons. Patients are often uncomfortable with confidential therapy sessions being recorded. Security issues present

a further challenge in terms of therapists having both access to secure servers to store the recordings and the ability to send and receive encrypted therapy recordings. And few validated treatment specific therapy competence scales exist.

Recently, self-rated adherence checklists have been examined as an alternative (Serfaty et al., 2020). Checklists are utilized in many fields (e.g., aviation, surgery) to improve the likelihood that all steps of a particular process are completed often with the goal of improving safety (Mahajan, 2011). Specific to psychological therapy, self-rated adherence checklists offer a number of benefits: they are cost effective, easy to use, maintain confidentiality, allow for a greater number of sessions to be rated, and appear to accurately reflect adherence (Serfaty et al., 2020; Caron & Dozier, 2022) with sustained benefit (Caron & Dozier, 2019).

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Enhanced Cognitive Behavior Therapy for Eating Disorders CBT-E

CBT-E is short term focused psychological therapy recommended for the treatment of all eating disorders across the age range (NICE, 2017). The treatment is manualized with built-in flexibility. Several adaptations exist, which are tailored to different clinical presentations and age. The treatment typically lasts between 20- 40 sessions depending on whether there is a need to regain weight.

CBT-E focuses on the key mechanisms maintaining the individual's disorder, including the over-evaluation of eating, shape, and weight - the core psychopathology of most eating disorders. Treatment consists of a series of stages or steps. The treatment for adults is best conceptualized as having four stages, whereas the treatment for adolescents is characterized by three steps (with the stages integrated into these). The beginning of treatment emphasizes understanding the eating disorder, enhancing motivation to change, and specific interventions to normalize eating patterns and regain control. Treatment then focuses on modifying the core psychopathology by decreasing unhelpful behaviors (e.g., body checking, dietary restraint, using eating disorder behaviors to regulate mood), encouraging more helpful ones (e.g., improving body image, developing flexible eating patterns, and building coping skills), and regaining body weight (if indicated). The final Stage of treatment is aimed at maintaining progress and preventing relapse.

Therapists wishing to learn CBT-E can access two detailed treatment "guides" covering adolescents and adults (Fairburn, 2008; Dalle Grave & Calugi, 2020) and a freely available online evidence supported training program (Fairburn et al., 2017). Note that we prefer the term practical "guide" to "manual" as a reminder of the treatment's flexibility. To assist interested therapists in implementing the treatment as described in the treatment guides and the online training, we created a therapist self-rated adherence checklist: the CBT-E CC.

The CBT-E CC

Development of the CBT-E CC

Core components of CBT-E were identified using the CBT-E treatment guides (Fairburn, 2008; Dalle Grave & Calugi 2020) and a previously developed treatment *blue-print* (Cooper et al., 2015). An initial pool of items was selected by consensus after discussion among the authors on which to include or discount with a view to obtaining a comprehensive checklist. Treatment experts from the CBT-E training group provided feedback and refinement.

There are two main versions of the checklist, one designed to match treatment for adults and one for treatment with adolescents. It does not cover the "broad" modules and is suitable for "focused" elements of CBT-E. Additionally, the CBT-E CC does not provide guidance on how to implement CBT-E or list generic components of treatment (e.g., joint agenda setting, setting and reviewing homework).

Using the CBT-E CC

The CBT-E CC is considered part of an implementation package and is best used by therapists who have completed the online training and are familiar with the relevant treatment guide(s). The checklist consists of stages or steps matching the treatment sequence. Therapists should aim to complete the checklist as soon as possible after each treatment session. Checkmarks are placed in boxes corresponding to treatment week indicating which interventions were used in the session. Following the checklist encourages implementation of procedures and can assist in planning future treatment sessions. Prompts to use relevant treatment assessment measures (e.g., Eating Disorders Examination Questionnaire (EDE-Q), Clinical Impairment Assessment (CIA), Eating Problems Checklist (EPCL)) are included. The checklist underlines the importance of flexibility within the treatment by containing both essential and optional treatment interventions.

The CBT-E CC for adults

The CBT-E CC for adults contains 31 items spread across the 4 stages of treatment (Table I). The majority of items are considered essential for treatment and should be included together with a number of optional items. Precise guidance on when to implement these items is not provided to allow for maximum individualization within the treatment. Sessions up to 20 are listed on the checklist with space to add more sessions when needed. Below the essential items are optional items which allow for even further flexibility in delivering the treatment. The version for adults also includes an additional module to use with patients who are underweight, undereating, or are ambivalent about engaging in treatment. It is suggested that when use of this module is necessary sessions should be extended up to approximately 40. At the end of the checklist there is space for the therapist to add any other interventions they would like to track through treatment.

The CBT-E CC for adolescents

The CBT-E CC for adolescents contains 33 items (Table II). The checklist captures the three cumulative sequential steps of treatment. Essential and optional items are listed to encourage flexibility. The adolescent version of

the checklist contains 40 sessions by default as the majority of patients in this age group require weight regain. For patients where weight regain is not required, the therapist can remove the extra session boxes. For more detailed session-by-session guidance on treatment procedures used when treating adolescents please see the work of Dalle Grave and Calugi, obtained here: https://www.cbte.co/whatis-cbte/adaptations-for-patient-groups/#adolescents.

The CBT-E CC for adults and adolescents is freely available to therapists providing CBT-E at: https://www.cbte.co/what-is-cbte/adaptations-for-patient-groups/.

Conclusion

Self-rated adherence checklists provide a tool for therapists to efficiently monitor their adherence to treatment protocols. The checklists can be designed with built-in flexibility through the use of essential and optional components. The CBT-E CC is one example. In its current form, the CBT-E CC is a tool for therapists to assess their own levels of adherence. It may also be possible to use it to measure adherence in psychological therapy treatment delivery more widely. Further evidence on the validity of the checklist to be used as a treatment adherence assessment is needed.

Acknowledgements

We would like to acknowledge Professor Roz Shafran for useful discussions which informed the development of the CBT-E CC.

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Table I. Therapist Self-rated CBT-E Components Checklist for Adults

Session the component was covered:	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	+
Stage 1																						
*Assessment (including measures EDE-Q, CIA)																						
*Describe treatment																						
*Jointly create formulation (including reviewing/ updating throughout treatment)																						
*Introduce/review self-monitoring																						
*Collaborative weekly weighing (including education)																						
*Regular eating (positive features) including hunger/ fullness																						
*Education about eating disorders (guided reading)																						
Alternative Activities																						
Urge surfing																						
Address other features (style of eating, purging, exercise)																						
Motivation to change																						
Involving significant others/ joint session																						
Stage 2																						
*Review of progress (including measures)																						
*Planning Stage 3																						
Stage 3																						
*Over-evaluation pie chart																						
Body image: Extended formulation																						
Body image: Expanding marginalised domains																						
Body image: Body checking and avoidance																						
Body image: Feeling fat																						
Dietary Rules: Explore, identify and address																						
Proactive problem solving																						
Analysis of eating disorder behaviours (binge analysis, vomiting analysis etc.)																						
Mood tolerance/modulation																						
Over evaluation of control over eating																						
Historical review/exploring origins of over-evaluation																						
*Manipulating mindsets (including dealing with setbacks)																						
Stage 4																						
*Review of progress (including measures)																						
*Stopping self-monitoring and handing over weekly weighing																						
*Discussing ending/concerns																						
*Maintenance of change (short term plan)																						
*Relapse prevention (long term plan																						
Underweight features/Undereating/Ambivalence																						
Motivation to change (may also use with ambivalent non u/w)																						
Education about starvation features																						
Weight regain																						
Weight maintenance																						
OTHER																						
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^{*}Essential components for use with all patients (the remaining components are optional and used "as needed")

© Bailey-Straebler, Cooper, Dalle Grave, Calugi, Murphy 2022. The CBT-E Components Checklist (CBT-E CC) is freely available for non-commercial clinical or research use and no permission need be sought. Please note that currently the CBT-E CC is designed for formative assessment purposes only i.e., assessment for learning to help therapists to reflect on and develop their skills. For this reason, clinicians may wish to translate it in to their own language or make adaptations. For research purposes please acknowledge such modifications. It should not be commercially exploited without permission from the authors. We also wish to acknowledge Roz Shafran for useful discussion related to the development of this measure.

Table II. Therapist Self-rated CBT-E Components Checklist for Adolescents or Patients Who Need to Regain Weight

Sension the component was covered:	Session the component was covered:	0	1	2 3	4	5	6	7 8	9	10	11 -	12 1	3 1	4 15	16	17	18 1	19 20	21	21 22	2 23	24	25 2	6 27	28	29	30 3	31 32	2 33	34 3	5 36	37 3	8 39	40
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